11-12-04

Receipt IFM

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of:	Examiner: Landsman, Robert S.
Kevin P. BAKER, et al.	) Art Unit: 1647
Application Serial No. 10/015,386	) Attorney's Docket No. 39780-2830P1C55
Filed: December 12, 2001	) Customer No. 35489/09157
For: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	) ) )

EXPRESS MAIL LABEL NO. <u>EL 993 687 782 US</u> DATE MAILED: NOVEMBER 9, 2004

Mail Stop: MP Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 09/04/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 02/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 09/01/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,479 filed 09/23/1998.--

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. <u>08-</u> <u>1641 (Atty. Docket No. 39780-2830P1C55)</u>.

Respectfully submitted,

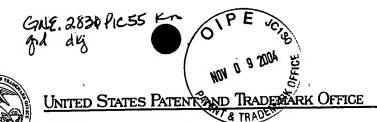
Dated: November 9, 2004

Anna L. Barry (Reg. No. 51,436)

HELLER EHRMAN WHITE & McAULIFFE LLP

Customer No. 35489 275 Middlefield Road Menlo Park, CA 94025

Tel: (650) 324-7000 Fax: (650) 324-0638



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,386	12/12/2001	1642	740	GNE.2830P1C55	249	20	3

**CONFIRMATION NO. 9794** 

UPDATED FILING RECEIPT

\*OC000000008045806\*

30313 KNOBBE, MARTENS, OLSON & BEAR, LLP 620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR NEWPORT BEACH, CA 92660

Date Mailed: 05/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Kevin P. Baker, Darnestown, MD; David Botstein, Belmont, CA; Luc Desnoyers, San Francisco, CA; Dan L. Eaton, San Rafael, CA; Napoleone Ferrara, San Francisco, CA: Sherman Fong, Alameda, CA; Wei-Qiang Gao, Palo Alto, CA; Audrey Goddard, San Francisco, CA; Paul J. Godowski, Hillsborough, CA; J. Christopher Grimaldi, San Francisco, CA; Austin L. Gurney, Belmont, CA; Kenneth J. Hillan, San Francisco, CA; James Pan, Belmont, CA; Nicholas F. Paoni, Belmont, CA; Margaret Ann Roy, San Francisco, CA; Victoria Smith, Burlingame, CA; Timothy A. Stewart, San Francisco, CA; Daniel Tumas, Orinda, CA; Colin K. Watanabe, Moraga, CA; P. Mickey Williams, Half Moon Bay, CA; William I. Wood, Hillsborough, CA;

# **Assignment For Published Patent Application**

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001 WHICH CLAIMS BENEFIT OF 60/098,716 09/01/1998 AND CLAIMS BENEFIT OF 60/098,723 09/01/1998 AND CLAIMS BENEFIT OF 60/098,749 09/01/1998 AND CLAIMS BENEFIT OF 60/098,750 09/01/1998 AND CLAIMS BENEFIT OF 60/098,803 09/02/1998 AND CLAIMS BENEFIT OF 60/098,821 09/02/1998 AND CLAIMS BENEFIT OF 60/098,843 09/02/1998 AND CLAIMS BENEFIT OF 60/099,536 09/09/1998 AND CLAIMS BENEFIT OF 60/099,596 09/09/1998 AND CLAIMS BENEFIT OF 60/099,598 09/09/1998 AND CLAIMS BENEFIT OF 60/099,602 09/09/1998 AND CLAIMS BENEFIT OF 60/099,642 09/09/1998 AND CLAIMS BENEFIT OF 60/099,741 09/10/1998 AND CLAIMS BENEFIT OF 60/099,754 09/10/1998 AND CLAIMS BENEFIT OF 60/099,763 09/10/1998 AND CLAIMS BENEFIT OF 60/099,792 09/10/1998 AND CLAIMS BENEFIT OF 60/099,808 09/10/1998 AND CLAIMS BENEFIT OF 60/099,812 09/10/1998 AND CLAIMS BENEFIT OF 60/099,815 09/10/1998 AND CLAIMS BENEFIT OF 60/099,816 09/10/1998 AND CLAIMS BENEFIT OF 60/100,385 09/15/1998 AND CLAIMS BENEFIT OF 60/100,388 09/15/1998 AND CLAIMS BENEFIT OF 60/100,390 09/15/1998 AND CLAIMS BENEFIT OF 60/100,584 09/16/1998 AND CLAIMS BENEFIT OF 60/100,627 09/16/1998 AND CLAIMS BENEFIT OF 60/100,661 09/16/1998 AND CLAIMS BENEFIT OF 60/100,662 09/16/1998 AND CLAIMS BENEFIT OF 60/100,664 09/16/1998 AND CLAIMS BENEFIT OF 60/100,683 09/17/1998 AND CLAIMS BENEFIT OF 60/100,684 09/17/1998 AND CLAIMS BENEFIT OF 60/100,710 09/17/1998 AND CLAIMS BENEFIT OF 60/100,711 09/17/1998 AND CLAIMS BENEFIT OF 60/100,848 09/18/1998 AND CLAIMS BENEFIT OF 60/100,849 09/18/1998 AND CLAIMS BENEFIT OF 60/100,919 09/17/1998 AND CLAIMS BENEFIT OF 60/100,930 09/17/1998 AND CLAIMS BENEFIT OF 60/101,014 09/18/1998 AND CLAIMS BENEFIT OF 60/101,068 09/18/1998 AND CLAIMS BENEFIT OF 60/101,071 09/18/1998 AND CLAIMS BENEFIT OF 60/101,279 09/22/1998 AND CLAIMS BENEFIT OF 60/101,471 09/23/1998 AND CLAIMS BENEFIT OF 60/101,472 09/23/1998 AND CLAIMS BENEFIT OF 60/101,474 09/23/1998 AND CLAIMS BENEFIT OF 60/101,475 09/23/1998 AND CLAIMS BENEFIT OF 60/101,476 09/23/1998 AND CLAIMS BENEFIT OF 60/101,477 09/23/1998 AND CLAIMS BENEFIT OF 60/101,479 09/23/1998 AND CLAIMS BENEFIT OF 60/101,738 09/24/1998 AND CLAIMS BENEFIT OF 60/101,741 09/24/1998 AND SAID CLAIMS BENEFIT OF 60/101,743 09/24/1998 AND CLAIMS BENEFIT OF 60/101,915 09/24/1998 AND CLAIMS BENEFIT OF 60/101,916 09/24/1998 AND CLAIMS BENEFIT OF 60/102,207 09/29/1998 AND CLAIMS BENEFIT OF 60/102,240 09/29/1998 AND CLAIMS BENEFIT OF 60/102,307 09/29/1998 AND CLAIMS BENEFIT OF 60/102,330 09/29/1998 AND CLAIMS BENEFIT OF 60/102,331 09/29/1998 AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998 AND CLAIMS BENEFIT OF 60/102,570 09/30/1998 AND CLAIMS BENEFIT OF 60/102,571 09/30/1998 AND CLAIMS BENEFIT OF 60/102,684 10/01/1998 AND CLAIMS BENEFIT OF 60/102,687 10/01/1998 AND CLAIMS BENEFIT OF 60/102,965 10/02/1998 AND CLAIMS BENEFIT OF 60/103,258 10/06/1998 AND CLAIMS BENEFIT OF 60/103,314 10/07/1998 AND CLAIMS BENEFIT OF 60/103,315 10/07/1998 AND CLAIMS BENEFIT OF 60/103,328 10/07/1998 AND CLAIMS BENEFIT OF 60/103,395 10/07/1998 AND CLAIMS BENEFIT OF 60/103,396 10/07/1998 AND CLAIMS BENEFIT OF 60/103,401 10/07/1998 AND CLAIMS BENEFIT OF 60/103,449 10/06/1998 AND CLAIMS BENEFIT OF 60/103,633 10/08/1998 AND CLAIMS BENEFIT OF 60/103,678 10/08/1998 AND CLAIMS BENEFIT OF 60/103,679 10/08/1998 AND CLAIMS BENEFIT OF 60/103,711 10/08/1998 AND CLAIMS BENEFIT OF 60/104,257 10/14/1998 AND CLAIMS BENEFIT OF 60/104,987 10/20/1998 AND CLAIMS BENEFIT OF 60/105,000 10/20/1998 AND CLAIMS BENEFIT OF 60/105,002 10/20/1998 AND CLAIMS BENEFIT OF 60/105,104 10/21/1998 AND CLAIMS BENEFIT OF 60/105,169 10/22/1998 AND CLAIMS BENEFIT OF 60/105,266 10/22/1998 AND CLAIMS BENEFIT OF 60/105,693 10/26/1998 AND CLAIMS BENEFIT OF 60/105,694 10/26/1998 AND CLAIMS BENEFIT OF 60/105,807 10/27/1998 AND CLAIMS BENEFIT OF 60/105,881 10/27/1998 AND CLAIMS BENEFIT OF 60/105,882 10/27/1998 AND CLAIMS BENEFIT OF 60/106,023 10/28/1998 AND CLAIMS BENEFIT OF 60/106,029 10/28/1998 AND CLAIMS BENEFIT OF 60/106,030 10/28/1998 AND CLAIMS BENEFIT OF 60/106,032 10/28/1998 AND CLAIMS BENEFIT OF 60/106,033 10/28/1998 AND CLAIMS BENEFIT OF 60/106,062 10/27/1998 AND CLAIMS BENEFIT OF 60/106,178 10/28/1998 AND CLAIMS BENEFIT OF 60/106,248 10/29/1998 AND CLAIMS BENEFIT OF 60/106,384 10/29/1998 AND CLAIMS BENEFIT OF 60/108,500 10/29/1998 AND CLAIMS BENEFIT OF 60/106,464 10/30/1998 AND SAID CLAIMS BENEFIT OF 60/106,856 11/03/1998 AND CLAIMS BENEFIT OF 60/106,902 11/03/1998 AND CLAIMS BENEFIT OF 60/106,905 11/03/1998 AND CLAIMS BENEFIT OF 60/106,919 11/03/1998 AND CLAIMS BENEFIT OF 60/106,932 11/03/1998 AND CLAIMS BENEFIT OF 60/106,934 11/03/1998 AND CLAIMS BENEFIT OF 60/107,783 11/10/1998 AND CLAIMS BENEFIT OF 60/108,775 11/17/1998 AND CLAIMS BENEFIT OF 60/108,779 11/17/1998 AND CLAIMS BENEFIT OF 60/108,787 11/17/1998 AND CLAIMS BENEFIT OF 60/108,788 11/17/1998 AND CLAIMS BENEFIT OF 60/108,801 11/17/1998 AND CLAIMS BENEFIT OF 60/108,802 11/17/1998 AND CLAIMS BENEFIT OF 60/108,806 11/17/1998 AND CLAIMS BENEFIT OF 60/108,807 11/17/1998 AND CLAIMS BENEFIT OF 60/108,848 11/18/1998 AND CLAIMS BENEFIT OF 60/108,849 11/18/1998 AND CLAIMS BENEFIT OF 60/108,850 11/18/1998 AND CLAIMS BENEFIT OF 60/108,851 11/18/1998 AND CLAIMS BENEFIT OF 60/108,852 11/18/1998 AND CLAIMS BENEFIT OF 60/108,858 11/18/1998 AND CLAIMS BENEFIT OF 60/108,867 11/17/1998 AND CLAIMS BENEFIT OF 60/108,904 11/18/1998 AND CLAIMS BENEFIT OF 60/108,925 11/17/1998 AND CLAIMS BENEFIT OF 60/108,925 11/17/1998 AND CLAIMS BENEFIT OF 60/113,296 12/22/1998 AND CLAIMS BENEFIT OF 60/114,223 12/30/1999 AND CLAIMS BENEFIT OF 60/141,037 06/23/1999 AND CLAIMS BENEFIT OF 60/144,758 07/20/1999 AND CLAIMS BENEFIT OF 60/145,698 07/26/1999 AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

### **Foreign Applications**

PCT/US99/00106 01/05/1999 PCT/US99/20111 09/01/1999 PCT/US99/21194 09/15/1999 PCT/US99/28313 11/30/1999 PCT/US99/28551 12/02/1999 PCT/US99/30095 12/16/1999 PCT/US00/00219 01/05/2000 PCT/US00/00376 01/06/2000 PCT/US00/03565 02/11/2000 PCT/US00/04342 02/18/2000 PCT/US00/05004 02/24/2000 PCT/US00/05841 03/02/2000 PCT/US00/06884 03/15/2000 PCT/US00/13705 05/17/2000 PCT/US00/14042 05/22/2000 PCT/US00/14941 05/30/2000 PCT/US00/15264 06/02/2000 PCT/US00/23328 08/24/2000 PCT/US00/23522 08/23/2000 PCT/US00/30873 11/10/2000 PCT/US00/30952 11/08/2000 PCT/US00/32678 12/01/2000 PCT/US01/06520 02/28/2001 PCT/US01/06666 03/01/2001 PCT/US01/17800 06/01/2001 PCT/US01/19692 06/20/2001 PCT/US01/21066 06/29/2001 PCT/US01/21735 07/09/2001

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: 08/15/2002

Non-Publication Request: No

Early Publication Request: No

**Title** 

Secreted and transmembrane polypeptides and nucleic acids encoding the same

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).